



Principal Investigator's Compliance Assessment

An annual clinical research Compliance Assessment is required to be completed for all greater than minimal risk active studies being conducted within Penn Medicine that do not have a sponsor appointed study monitor.

The Compliance Assessment should be completed annually - within 90 days of the IRB approval expiration date. **Please do not submit the PICA to the IRB.** Submit the completed form to OCR Compliance by completing the REDCap form.

The OCR can be reached for support related to any aspect of the assessment: psom-ocrcompliance@pobox.upenn.edu

The PICA can be completed by any member of the study team, but PI review and confirmation via signature is required.

PICA REDCap form:

<https://redcap.med.upenn.edu/surveys/?s=RTJHWJ78973DEXYW>